# Registering Grants with Crossref: Next steps, workflows & the bigger picture

# Participants include

- Wellcome (via EuropePMC)
- Chan Zuckerberg Initiative (CZI)
- James S. McDonnell Foundation
- Gordon and Betty Moore Foundation
- Australian Research Data Commons
- OSTI/DOE
- Simons Foundation
- Swiss National Science Foundation
- Wiener Wissenschafts-, Forschungsund Technologiefonds (WWTF)/Vienna Science and Technology Fund
- Japan Science & Technology Agency
- European Research Council

- Science Foundation Ireland
- Human Frontier Science Program (HFSP)
- International Anesthesia Research Society
- Melanoma Research Alliance
- Children's Tumor Foundation
- Tuberous Sclerosis Alliance
- Danmarks Frie Forskningsfond
- The ALS Association
- The European Commission
- American Cancer Society
- FCT Portugal
- Cure PSP



PREFIX	PUBLISHER	COUNT
10.53041	CurePSP, Inc.	2
10.37717	James S. McDonnell Foundation	444
10.48050	Melanoma Research Alliance	392
10.37807	Gordon and Betty Moore Foundation	85
10.46936	US Department of Energy	25
10.51761	Tuberous Sclerosis Alliance	3
10.46714	Simons Foundation	6
10.52546	The ALS Association	414
10.48105	Children's Tumor Foundation	620
10.51718	International Anesthesia Research Society	34
10.47486	Australian Research Data Commons (ARDC)	57
10.35802	Wellcome	16411
10.53354	American Cancer Society	2



**Publisher adds** 

grant ID to Crossref

metadata

record



Funders (& others) search for all outputs related to the grant



Awardee passes grant ID to publisher on submission

**Funder registers** 

grant & shares **ID** with awardee



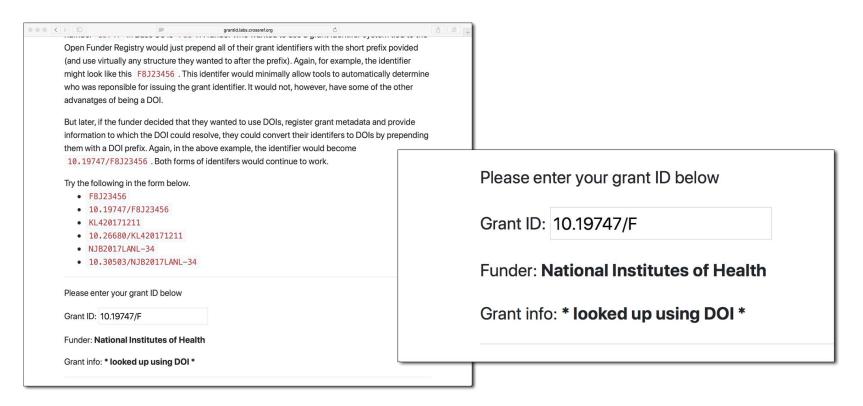
metadata & matches to output



Funder joins Crossref as member



The full Grant ID enables external systems e.g. manuscript submission systems and grant tracking systems to pull accurate, funder-provided information on the grant from the Crossref metadata, reducing manual data entry.





#### The first registered grants

```
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     Initiative to Develop African Research Leaders (IDeAL)
  v<investigators>
      <givenName>Samson</givenName
       <familyName>Kinyanjui</familyName>
        <institution country="KE">Kenya Medical Research Institute (Kemri)</institution>
      </affiliation>
     </person>
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       <familyName>Bejon</familyName>
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   </investigators>
  w<description xml:lang="en">
     Research is key in tackling the heath challenges that Africa faces. In KWTRP we have been comm.
     epidemiology, laboratory science including molecular biology and bioinformatics. Our strategy h
     productive groups and provide high quality supervision and mentorship. Here we plan to consoli-
     KWTRP aims to address capacity building for research through an initiative that employs a progr
     Leaders' (IDeAL) is to build a critical mass of African researchers who are technically profici
     governments, and to act as supervisors and mentors for the next generation of researchers,
   <award_amount_currency="GBP">8037752</award_amount>
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 </project>
 <award-number>107769</award-number>
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https://doi.org/10.35802/107769

v<resource>

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  ▼<project-title>
     Can a system intervention employing team-based case review help improve quality and safety of paediatric hospital care in Kenya?
    </project-title>
   ▼<investigators>
    ▼ <person role="lead investigator">
       <givenName>Michael</givenName>
       <familyName>English</familyName>
      ▼<affiliation>
         <institution country="GB">University of Oxford</institution>
       </affiliation>
       <ORCID>https://orcid.org/0000-0002-7427-0826</ORCID>
      </person>
    </investigators>
   ▼ <description xml:lang="en">
      Many more children die in Kenyan hospitals than in richer countries, often from treatable illnesses. Preventing deaths in very sick children requires health-workers to
      act effectively as a team to initiate correct care rapidly and sustain good care over time. When teams do not or cannot act effectively mistakes can be made and children
      may not receive what they need. I aim to: - Develop an approach with Kenyans that helps healthcare teams reflect on events surrounding a child death in hospital and
      identify what and how work needs to be changed - Test the effect of the approach developed by comparing improvements in care in hospitals that use this approach and
      those that don't and see how it is actually delivered - Develop a model that helps us think through how generating and sharing the insights from reviewing deaths might
      change how teams, local and national managers and experts in child health act to improve care - Use the findings to understand what the major problems in providing care
      to sick children are and how these might vary across patients, time and place. Work aims to enable health systems to providing continuous, safe care in countries like
      Kenya.
    </description>
   ▼ <description xml:lang="en">
      In Kenya 6% of children admitted to hospital die, a figure many times higher than developed countries. Severe illness and co-morbidity underlie many deaths and require a
      coordinated response from health-worker teams to deliver multiple interventions safely across admission periods of several days. This can expose many team and system
      weaknesses that need to be addressed to improve outcomes. I will build on prior work in Kenya to: - Comprehensively describe quality and safety concerns, avoidable
      mortality, their relationship with case severity and case complexity and the changing epidemiology of care in multiple Kenyan county hospitals - Co-design the tools and
      procedures that enable multi-site, team-based case review (TCR) to diagnose and tackle inpatient quality and safety concerns locally and at scale - Test if intervention
      can reduce the frequency of modifiable factors that undermine quality and safety of hospital care and reduce potentially avoidable mortality - Undertake empirical work
      to refine a theory of change supporting a detailed process evaluation and critical exploration of mechanisms of intervention effect spanning individual providers, teams,
      organisations and institutions. This work will be a major contribution to the field of quality and safety in Africa and help develop scalable improvement interventions.
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https://doi.org/10.35802/207522



#### Landing page for a grant ID



The DOIs resolve to a landing page that contains information about the grant (Wellcome's are hosted by EuroPMC who also deposit the grant metadata with Crossref for them).





PUBLISH

**ABOUT** 

**BROWSE** 

⑥ OPEN ACCESS № PEER-REVIEWED

RESEARCH ARTICLE

Evaluating the foundations that help averesistance: Performance of essential wahygiene functions in hospitals and require Kenya

Michuki Maina , Olga Tosas-Auguet, Jacob McKnight, Mathias Zosi, Grace Kimemia Mike English

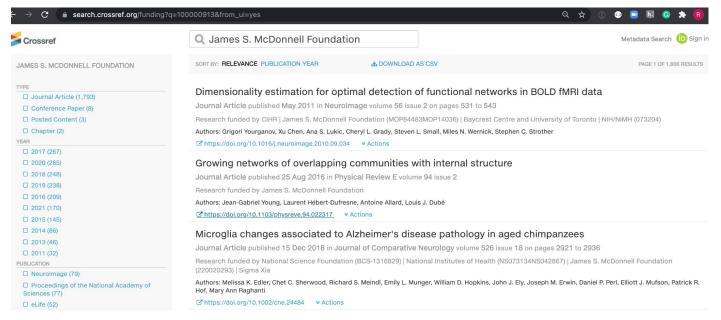
Published: October 9, 2019 • https://doi.org/10.1371/journal.pone.0222922

https://doi.org/10.1371/journal.pone.0222922

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The Grant DOIs can also start to be tied to publications. This example from PLOS ONE cites the two Wellcome Grant IDs we just saw. PLOS collected this information from the authors upon submission, and it can then be passed to Crossref in the publisher metadata when the article DOI is registered.

#### Using the data



Finally, the information is made available by Crossref's APIs. This will allow funders (and anyone else) to search Crossref using the Grant ID and find all the published outputs that Crossref can see associated with that grant, or the funder overall. The results can then be faceted or filtered, or imported into funder systems.

#### Work in progress

- Availability via Crossref REST API
- Schema 5.0 work to accept grant identifiers in publication metadata (includes support for ROR)
- Helper tool(s) to simplify content registration
- Additions to grants schema
- Governance

#### Work in progress

- Growing corpus of registered grants
- Awareness across:
  - Funders
  - Metadata users
  - Research institutions
  - Publishers & service providers

#### **Linking with ORCID & DataCite**

## The big picture...



### ...is bigger than this...

#### The big picture

- Linking grants to \*all\* outputs related to a grant e.g. data, software, preprints, policy papers, reports without manual reporting (and being able to see the license information related to that content)
  - In future, potential for compliance-checking on publication/data-sharing policies
- Auto-update workflow to ORCID can automatically populate ORCID records to give a more-comprehensive picture of their research without them having to do it
  - Auto-suggest for manuscript submission systems, data repositories etc. saves time and manual data-entry
- Multiple ways of looking at research outputs related to grants
- Open, transparent grant information across research support via one central source

# Feedback

Feedback and questions welcome!

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